091-256869

| | and the same of th | 0911-050000 |
|---|--|--|
| or Internal Use Only | Submit 1 Original | OMB Approval No.: |
| ec File No. 91 - | and 9 Copies | Expires: |
| | | Estimated everage burden hours per response: 2 |
| | UNITED STATE CCURITIES AND EXCHANGI Washington, D.C. 20 FORM 19b-4(e | E COMMISSION TOCESSING |
| Derivative Securities Pro | | nder the Securities Explange Act of 1934 |
| | the state of the s | COMPLETING FORM" 9100, DC |
| art I Name of Self-Regulatory Organization Li | Initial Listing Repo | And the control of th |
| YSE American, LLC (trading pursu | | المعادية المراوعة فيتنا والمناف والمستوان والم |
| 115E American, LLC (trading pursu | iant to unusted trading privileg | |
| . Type of Issuer of New Derivative Securiti | es Product (e.g., clearinghouse, brok | |
| Open-end Management Investment Company | | Securities and Exchange Commission Trading and Markets |
| . Class of New Derivative Securities Produ | ët: 1 ee e e e e e e e e e e e e e e e e | |
| Managed Fund Shares | | OUT 32 2018 |
| . Name of Underlying Instrument: | | PECENTA |
| /a | | RECEIVED |
| . If Underlying Instrument is an Index, Stat | e Whether it is Broad-Rased or Narro | ow-Based: |
| /a | e whether it is broad-based of Many | ow-pased. |
| | | |
| . Ticker Symbol(s) of New Derivative Secu | rities Product: | |
| BOCT | | |
| . Market or Markets Upon Which Securities | S Comprising Underlying Instrument | Trades |
| /a | | |
| | | III I I I I I I I I I I I I I I I I I |
| 3. Settlement Methodology of New Derivative Securities Product: | | |
| Regular way trades settle on T+2/Book entry only held in DTC. | | NI KINDON N |
| Position Limits of New Derivative Securities Product (if applicable): | | 18010846 |
| ot applicable. | | |
| | 1 | |
| art II | Execution | |
| | , the listing and trading of the above- | Regulatory Organization has duly approved, or has duly referenced new derivative securities product according to i |
| Name of Official Responsible for Form: | | |
| Iartha Redding | | |
| itle: | | |
| Associate General Counsel and Assist | ant Secretary | |
| elephone Number: | | |
| 212) 656-2938 | | |
| lanual Signature of Official Responsible fo | r Form: | Agt Securities Exchange Act of 1934 |
| (1) | | Section 196-4 |
| October 5, 2018 | in and a substitution of the interest data are expelled by the control of the second of a second of the second | RUS |
| EC 2449 (1/99) | | Publis |
| | | Availability: OCT 0 9 2018 |